



# Asymmetrical Context-aware Modulation for Collaborative Filtering Recommendation

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[https://github.com/halaoyy/ARBRE\\_pytorch](https://github.com/halaoyy/ARBRE_pytorch)

(CIKM-2022)



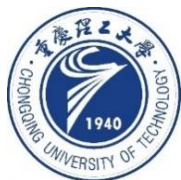
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Reported by Ke Gan



- 1. Introduction**
- 2. Approach**
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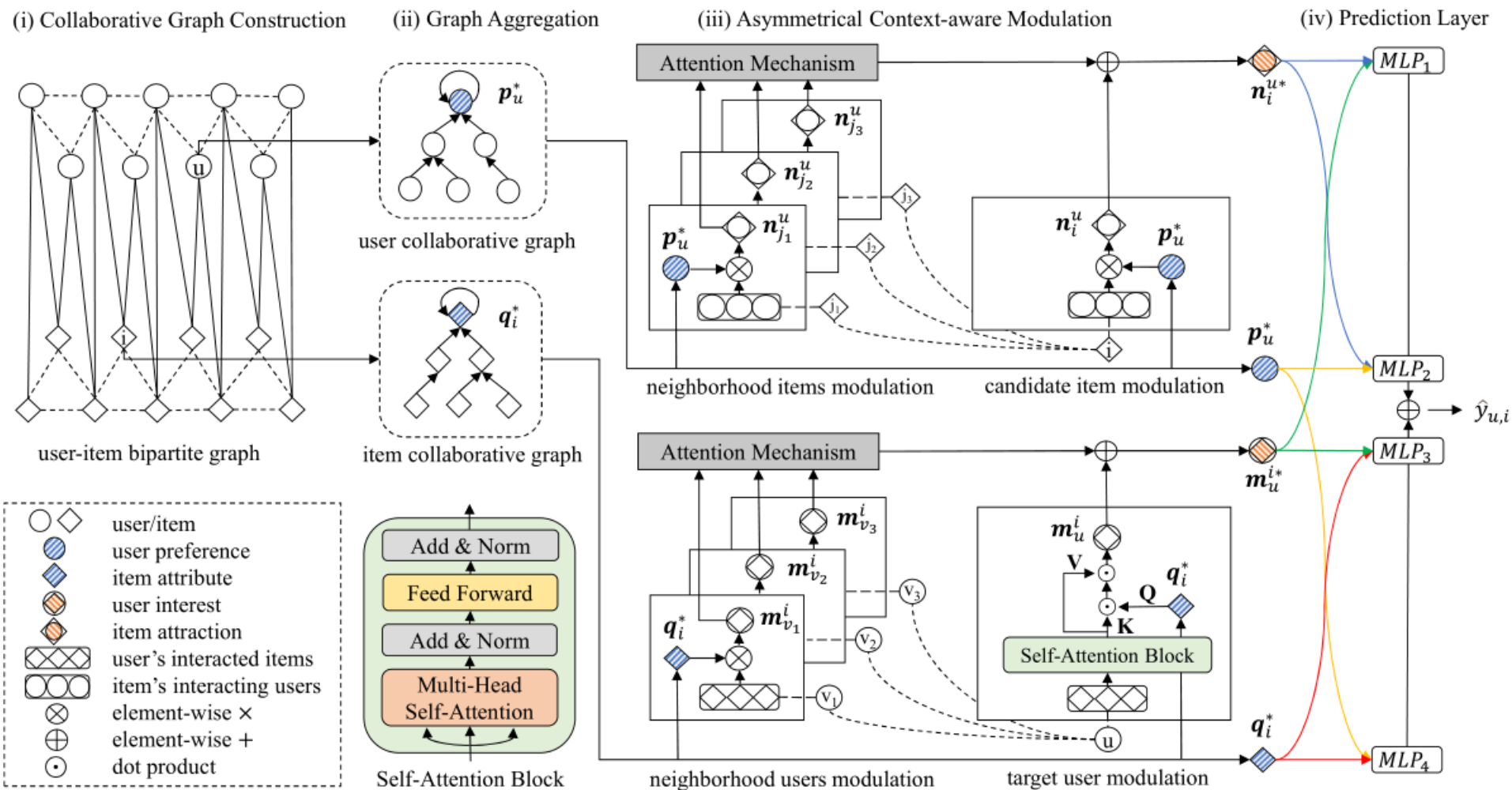
# Introduction



the learned representation of a user (resp. item) is static for diverse items (resp. users)

learn representations by symmetrical dual methods which have identical or similar operations

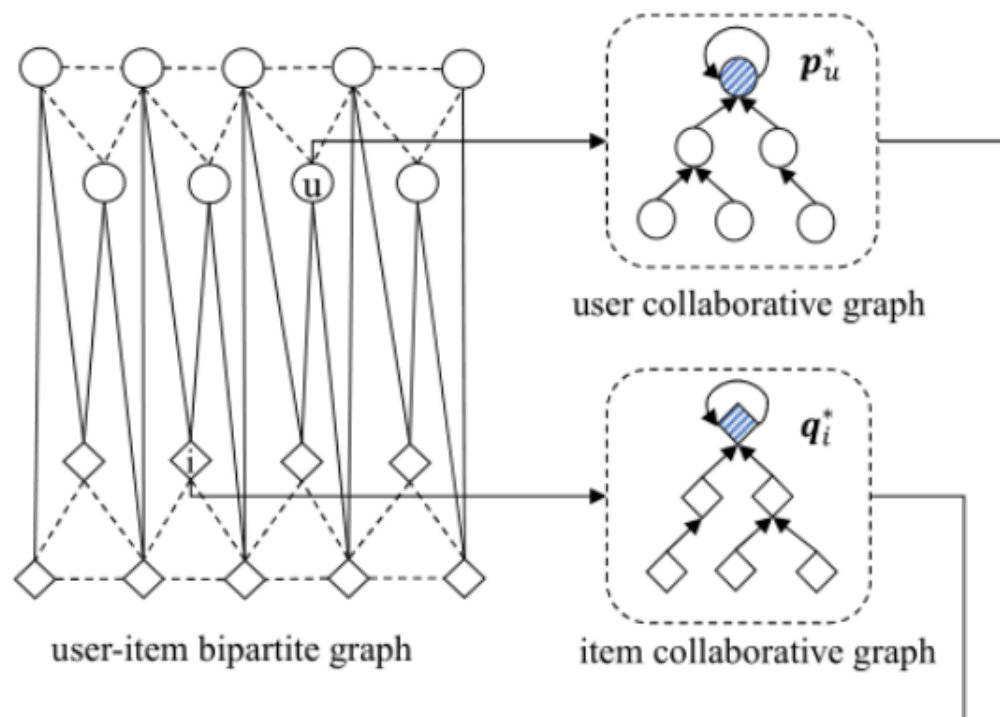
**Figure 1: Illustration of context-aware user interest and item attraction. The upper part is a user's clicking history, which can be divided into two categories: clothes and computers; the lower part is a MLB varsity jacket's clicked users, which composed of baseball fans and fashionistas.**





(i) Collaborative Graph Construction

(ii) Graph Aggregation



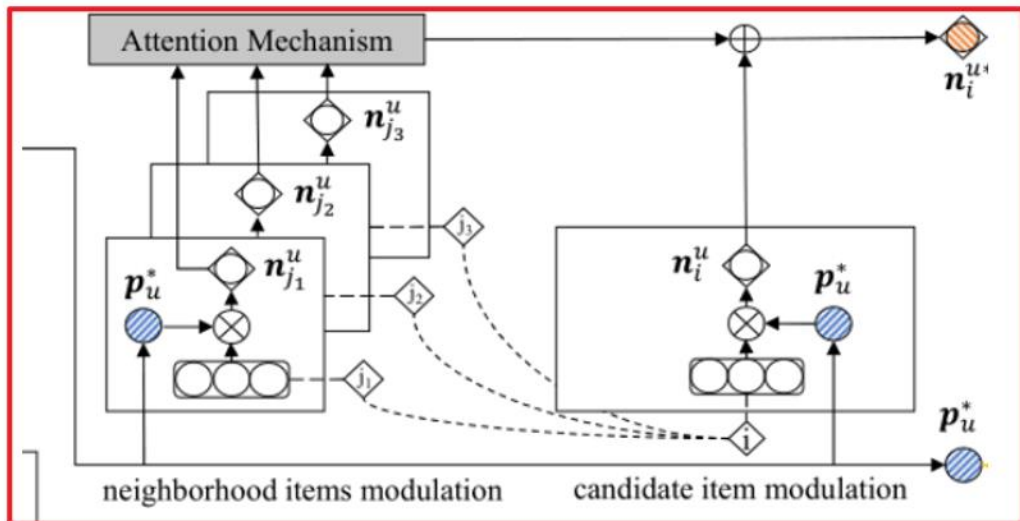
$$sim_U(u, v) = \frac{|\mathcal{R}_I(u) \cap \mathcal{R}_I(v)|}{|\mathcal{R}_I(u) \cup \mathcal{R}_I(v)|} \quad (1)$$

$$\begin{aligned} P &= W_U U \\ Q &= W_I I \end{aligned} \quad (2)$$

$$p_u^{l+1} = LN \left( \sum_{v \in \mathcal{N}_U(u)} p_v^l \right) \quad (3)$$

$$q_i^{l+1} = LN \left( \sum_{j \in \mathcal{N}_I(i)} q_j^l \right) \quad (4)$$

n (iii) Asymmetrical Context-aware Modulation

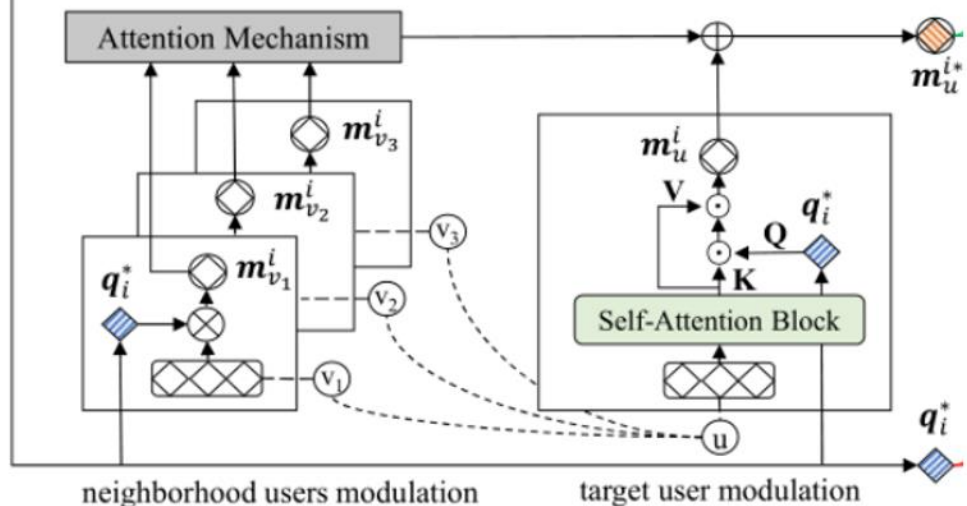


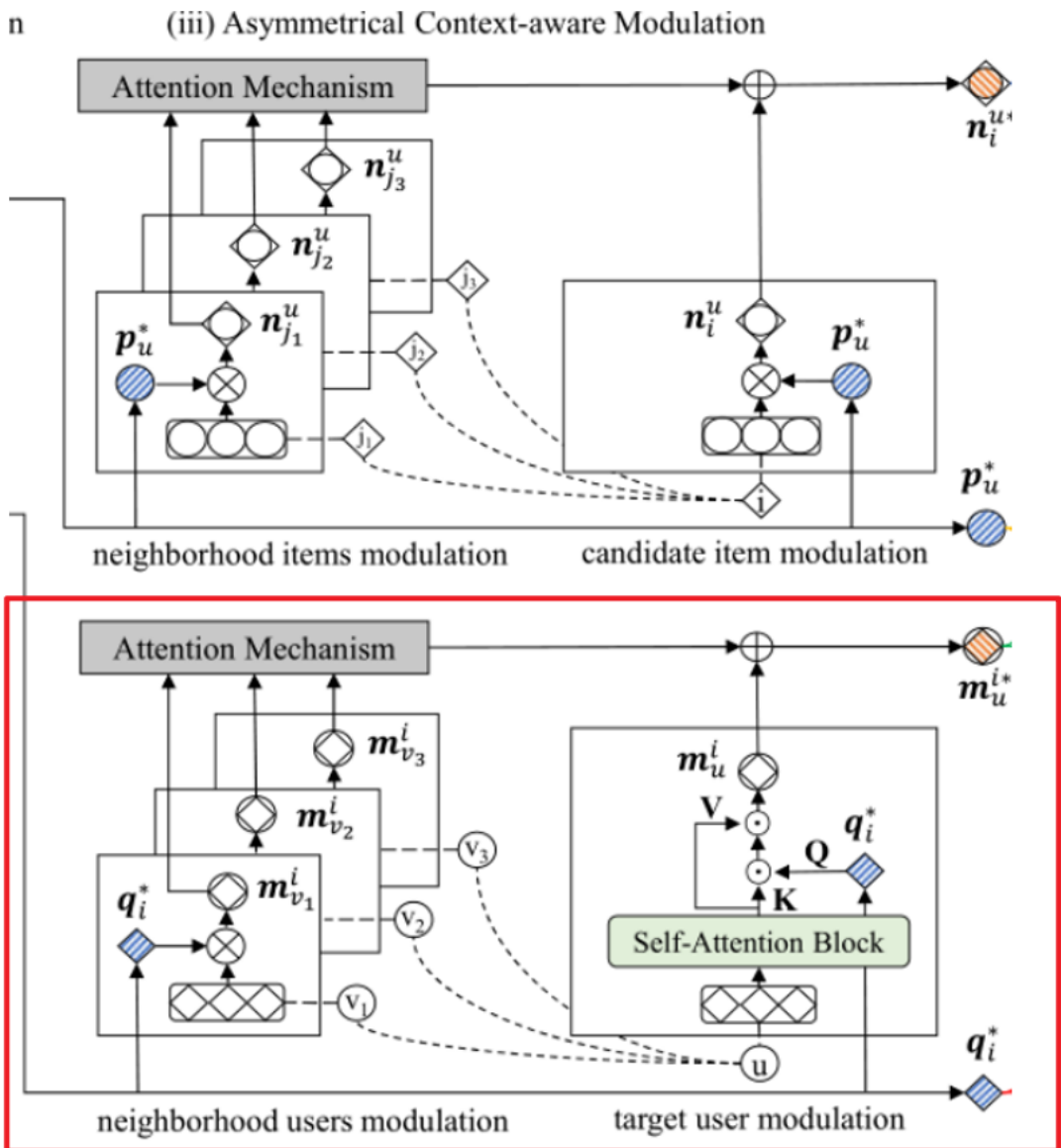
$$\mathbf{n}_i^u = MP_{v \in \mathcal{R}_U(i)} (\{\mathbf{p}_u^* \otimes \mathbf{p}_v^*\}) \quad (5)$$

$$\alpha_{i,j} = (\mathbf{n}_i^u)^T \cdot (\mathbf{n}_j^u) \quad (6)$$

$$\mathbf{n}_i^{u*} = 0.5 \times \left( \mathbf{n}_i^u + \sum_{j \in \mathcal{N}_I(i)} \alpha'_{i,j} \cdot \mathbf{n}_j^u \right) \quad (7)$$

$$\alpha'_{i,j} = \frac{\exp(\alpha_{i,j})}{\sum_{j \in \mathcal{N}_I(i)} \exp(\alpha_{i,j})}$$





$$Q_u = [q_{i_1}^*, q_{i_2}^*, \dots, q_{i_{|\mathcal{R}_I(u)|}}^*] \in \mathbb{R}^{d \times |\mathcal{R}_I(u)|}$$

$$H_u = LN(Q_u' + FFN(Q_u')) \quad (10)$$

$$Q_u' = LN(Q_u + MultiHeadAttn(Q_u))$$

$$MultiheadAttn(Q_u) = W^O \cdot Concat(\mathbf{head}_1, \dots, \mathbf{head}_h)$$

$$\mathbf{head}_i = Attention(W_i^Q Q_u, W_i^K Q_u, W_i^V Q_u) \quad (8)$$

$$Attention(Q, K, V) = V \cdot softmax\left(\frac{K^T Q}{\sqrt{d_h}}\right) \quad (9)$$

$$m_u^i = (W^V H_u) \cdot softmax\left(\frac{(W^K H_u)^T (W^Q q_i^*)}{\sqrt{d}}\right) \quad (11)$$

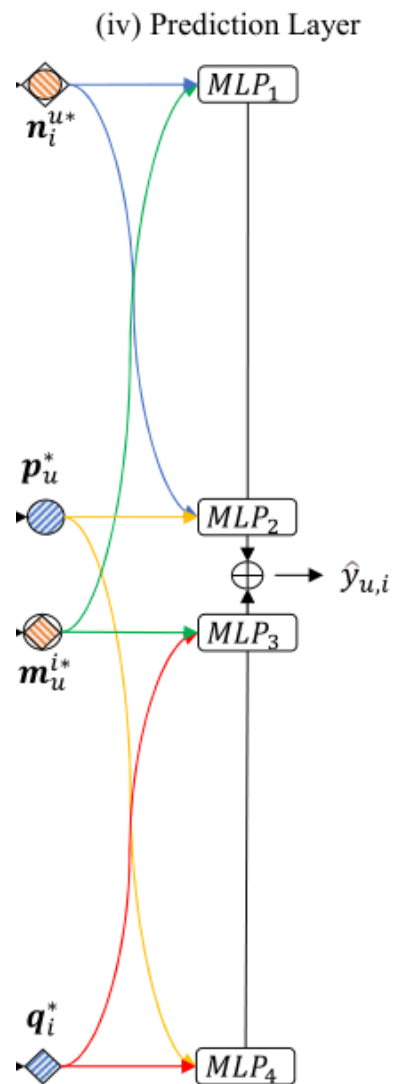
$$m_v^i = MP_{j \in \mathcal{R}_I(v)}(\{q_i^* \otimes q_j^*\}) \quad (12)$$

$$m_u^{i*} = 0.5 \times \left( m_u^i + \sum_{v \in \mathcal{N}_U(u)} \alpha'_{u,v} \cdot m_v^i \right)$$

$$\alpha'_{u,v} = \frac{\exp(\alpha_{u,v})}{\sum_{v \in \mathcal{N}_U(u)} \exp(\alpha_{u,v})} \quad (13)$$

$$\alpha_{u,v} = (m_u^i)^T \cdot (m_v^i)$$

# Approach



$$\begin{aligned}
 y_{u,i}^1 &= MLP_1(m_u^{i*}, n_i^{u*}) \\
 y_{u,i}^2 &= MLP_2(p_u^*, n_i^{u*}) \\
 y_{u,i}^3 &= MLP_3(m_u^{i*}, q_i^*) \\
 y_{u,i}^4 &= MLP_4(p_u^*, q_i^*)
 \end{aligned} \tag{14}$$

$$\hat{y}_{u,i} = \sum_{k=1}^4 \lambda_k y_{u,i}^k \tag{15}$$

$$\mathcal{L} = - \sum_{(u,i) \in \mathcal{D}} y_{u,i} \log \hat{y}_{u,i} + (1 - y_{u,i}) \log (1 - \hat{y}_{u,i}) \tag{16}$$



# Experiment

**Table 3: Comparisons of different models on three datasets. The best results are in boldface and the second best results are underlined. “Impv.” indicates the relative improvement of the best results compared to the second best results.**

Datasets	Metric	BPR	GCMC	NGCF	LightGCN	UltraGCN	IMP-GCN	DICER	ARBRE	Impv.
Beauty	Recall@5	0.3554	0.3714	0.4310	0.4733	0.4690	<u>0.4812</u>	0.4718	<b>0.5223</b>	8.54%
	Recall@10	0.4436	0.4901	0.5395	0.5814	0.5644	<u>0.5925</u>	0.5903	<b>0.6465</b>	9.11%
	Recall@15	0.5006	0.5642	0.6022	0.6406	0.6291	0.6539	<u>0.6573</u>	<b>0.7202</b>	9.57%
	NDCG@5	0.3165	0.2981	0.3561	0.3914	0.3939	<u>0.3972</u>	0.3799	<b>0.4249</b>	6.97%
	NDCG@10	0.3543	0.3400	0.3947	0.4297	0.4286	<u>0.4367</u>	0.4232	<b>0.4704</b>	7.72%
	NDCG@15	0.3738	0.3618	0.4130	0.4472	0.4477	<u>0.4549</u>	0.4431	<b>0.4923</b>	8.22%
Video	Recall@5	0.4781	0.5470	0.6187	0.6341	<u>0.6355</u>	0.6332	0.6105	<b>0.7024</b>	10.53%
	Recall@10	0.6032	0.6924	0.7473	0.7558	<u>0.7582</u>	0.7541	0.7479	<b>0.8227</b>	8.51%
	Recall@15	0.6753	0.7703	0.8123	0.8192	<u>0.8221</u>	0.8145	0.8206	<b>0.8836</b>	7.48%
	NDCG@5	0.4172	0.4420	0.5166	0.5338	<u>0.5351</u>	0.5331	0.4970	<b>0.5957</b>	11.33%
	NDCG@10	0.4697	0.4935	0.5623	0.5769	<u>0.5802</u>	0.5760	0.5476	<b>0.6395</b>	10.22%
	NDCG@15	0.4948	0.5169	0.5819	0.5959	<u>0.5995</u>	0.5943	0.5697	<b>0.6580</b>	9.76%
Yelp	Recall@5	0.4210	0.4389	0.4693	0.4884	<u>0.6002</u>	0.5522	0.5264	<b>0.6266</b>	4.40%
	Recall@10	0.5731	0.6277	0.6528	0.6645	<u>0.7472</u>	0.7337	0.7012	<b>0.7910</b>	5.86%
	Recall@15	0.6466	0.7325	0.7494	0.7585	<u>0.8335</u>	0.8268	0.8113	<b>0.8784</b>	5.39%
	NDCG@5	0.4253	0.4044	0.4329	0.4568	<u>0.5287</u>	0.5153	0.4452	<b>0.5469</b>	3.44%
	NDCG@10	0.4785	0.4665	0.4935	0.5140	<u>0.5866</u>	0.5748	0.5148	<b>0.6120</b>	4.33%
	NDCG@15	0.5066	0.5015	0.5258	0.5453	<u>0.6174</u>	0.6064	0.5540	<b>0.6439</b>	4.29%

# Experiment

**Table 4: Effect of context-aware modulation on Beauty**

Model	Recall@5	Recall@10	Recall@15	NDCG@5	NDCG@10	NDCG@15
ARBRE-m	0.4196	0.5373	0.6074	0.3299	0.3730	0.3937
ARBRE-n	0.4769	0.6093	0.6894	0.3853	0.4331	0.4568
ARBRE-m-n	0.3604	0.4924	0.5627	0.2753	0.3239	0.3449
ARBRE	<b>0.5223</b>	<b>0.6465</b>	<b>0.7202</b>	<b>0.4249</b>	<b>0.4704</b>	<b>0.4923</b>

ARBRE-m: ignoring user interest  $m_u^{i*}$ .

**Table 5: Effect of asymmetrical structure on Beauty**

Model	Recall@5	Recall@10	Recall@15	NDCG@5	NDCG@10	NDCG@15
ARBRE- $\alpha$	0.4657	0.5832	0.6486	0.3761	0.4192	0.4387
ARBRE- $\beta$	0.4652	0.5840	0.6612	0.3789	0.4213	0.4440
ARBRE	<b>0.5223</b>	<b>0.6465</b>	<b>0.7202</b>	<b>0.4249</b>	<b>0.4704</b>	<b>0.4923</b>

ARBRE- $\alpha$ : learning target user interest by conducting element-wise product and max pooling operations

# Experiment

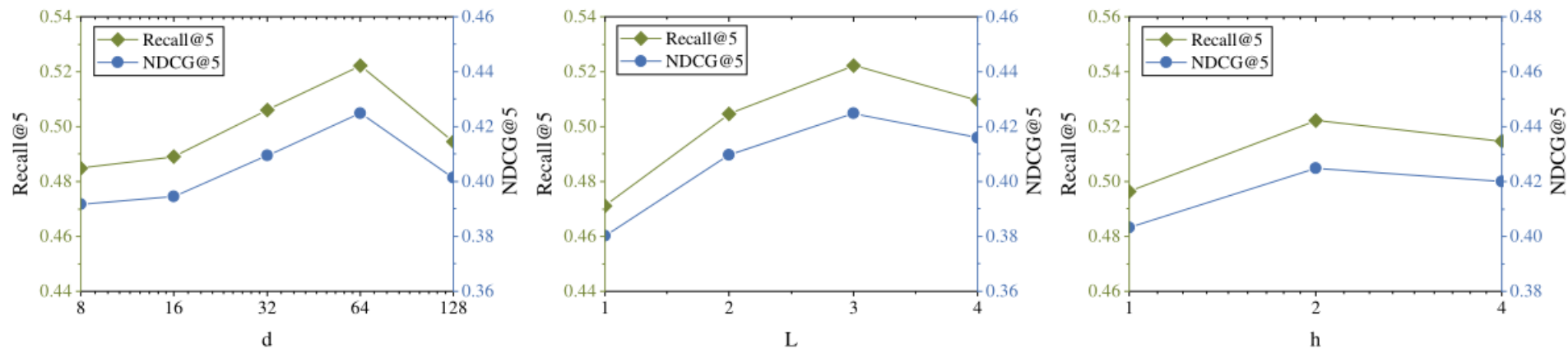


Figure 3: Performance of ARBRE on Beauty w.r.t different hyper-parameters

Table 6: Effect of the interaction between user interest and item attraction in the prediction layer on Video

Model	Recall@5	Recall@10	Recall@15	NDCG@5	NDCG@10	NDCG@15
ARBRE- $MLP_1$	0.6180	0.7554	0.8285	0.5111	0.5612	0.5832
ARBRE	<b>0.7024</b>	<b>0.8227</b>	<b>0.8836</b>	<b>0.5957</b>	<b>0.6395</b>	<b>0.6580</b>



**Thank you!**